

**Corporate KYC**

**A. Account Information**

Account Number  Account Opening Date

**B. Corporate Information**

Name	<input type="text"/>	Commercial Registry No.	<input type="text"/>
Address	<input type="text"/>	Date of Establishment	<input type="text"/>
Phone number	<input type="text"/>	Fax	<input type="text"/>
No. of Employees Present	<input type="text"/>	Capital	<input type="text"/>
Yearly Turnover	<input type="text"/>	Contact Person	<input type="text"/>
Position of Contact Person	<input type="text"/>	Contact Person E-mail	<input type="text"/>

**Principal Shareholders/Partners Info (Above 20%)**

Full Name/Title

% of Shares/Parts

Address

Phone Number

Full Name/Title

% of Shares/Parts

Address

Phone Number

Full Name/Title

% of Shares/Parts

Address

Phone Number

**General Manager/Authorized Signatories**

Full Name/Title

% of Shares/Parts

Address

Phone Number

Full Name/Title

% of Shares/Parts

Address

Phone Number

**Corporate KYC**

**C. Financial Information**

1) Initial Deposit

2) Approximate Annual Income

- < \$25.000                       \$25.001 - \$50.000                       \$50.001 - \$100.000                       \$100.001 - \$250.000
- \$250.001 - \$500.000                       \$500.001 - \$1.000.000                       > \$1.000.000

3) Investable Assets

- < \$25.000                       \$25.001 - \$50.000                       \$50.001 - \$100.000                       \$100.001 - \$250.000
- \$250.001 - \$500.000                       \$500.001 - \$1.000.000                       > \$1.000.000

4) Banking Information

Primary Bank	<input type="text"/>	Branch & Address	<input type="text"/>
Account Type	<input type="text"/>	Main Account Number	<input type="text"/>
Source of Funds	<input type="text"/>		

**Additional information related to the financial status of the Company**

**D. Beneficiary Right Owner (s) (BRO)**

**If not the same BRO**

Reason	<input type="text"/>	Full Name	<input type="text"/>
Relationship	<input type="text"/>	Profession	<input type="text"/>
Address	<input type="text"/>		

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**E. Investment Information and Objectives**

The following section is intended for an evaluation of investment risk. This will help in the determination of funds vis-à-vis risk appetite and investment objectives.

1) Current investments and values of

- a. Equity common shares
- b. Preferred Shares
- c. Government bonds & other debt instruments
- d. Other bonds & debt instruments
- e. Investment funds (specify typed of funds)
- f. Foreign exchange
- g. Real estate (for investment purposes)
- h. Bank deposits and certificates
- i. Structured products and notes
- j. Commodities
- k. Futures contracts (specify type of contract)
- l. Options contracts (specify types of contracts)

2. What is the investment objective?

- a) Capital-preservation
- b) Income-generation
- c) Maximize Capital-growth

3. Investment Knowledge and Experience

Type of financial Instrument	No experience	Up to three years	Three to five years	Five years and above
Bonds and other debt instruments				
Bank deposits, Treasury bills, T notes				
Mutual funds				
Stocks				
Currencies				
Options contracts				
Futures contracts				
Swaps				
Structured Financial Products				
Certificates of deposit (CDs)				
Derivatives				
Commodities				
Real Estate (for investment purposes)				
Other, Name of financial instrument:				

4. Risk Tolerance:  High  Medium  Low

Additional information related to ability to withstand losses:

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**F. Insider Trading Questionnaire**

1- Are you member of the Board of Directors or officer of a publicly listed company or an issuer of securities?

Yes  No

If yes, please list such company(ies)

Do you have a close relationship with anyone with access to insider information related to a public firm or a firm going public?

Yes  No

2- Are you an insider aware of inside non-public information at a listed company or an issuer of securities?

Yes  No

If yes, please list such company(ies)

3- Do you have a close relationship with an insider aware of inside non-public information at a listed company or an issuer of securities?

Yes  No

If yes, please list such company(ies)

**Company Name**

**Update Date**

**Authorized Signatory**

**Signature**

**Date**

**Authorized Officer Signature**

**Supervising Officer Signature**

**Compliance Officer Signature**